

UW Enterprise IT Projects

Project Portfolio Executive Summary - September 30, 2020

| Project | Sponsor | Oversight Level * | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
|--|-----------------------------|-------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|---------------|---------------|
| UW Finance Transformation Implementation | Mark Richards | 3 - OCIO | | | | | | | \$56,049,000 | \$269,247,000 |
| Destination: One | Tim Dellit | 3 - UW | | | | | | | \$112,220,000 | \$159,500,000 |
| Advancement CRM Replacement | Julie Brown Dan Peterson | 3 - OCIO | | | | | | | \$1,819,000 | \$4,000,000 |
| Clinical Trials Management System | John Slattery | 2 - UW | | | | | | | \$10,031,000 | \$15,704,000 |
| UWM Data Analytics Warehouse | Adam Wilcox | 2 - UW | | | | | | | \$1,664,000 | \$5,172,000 |
| Continuum Online Apps | Marlon Buchanan | 2-UW | <i>pause</i> | | | | | | \$213,000 | \$600,000 |
| LQI Leases | Susan Camber | 2 - UW | <i>final</i> | | | | | | \$459,000 | \$540,000 |
| Campus Space Management Modernization | Tim Rhoads | 2- UW | | | | | | | \$170,000 | \$330,000 |
| MSIM Online Program Management | Anind Dey | 2 - UW | <i>new</i> | | | | | | \$59,000 | \$216,000 |
| Transportation System Improvement Project | John Chapman | 1 - UW | | | | | | | \$3,205,000 | \$3,401,000 |
| Finance E-Commerce/Touchnet | Brian McCartan | 1 - UW | | | | | | | \$243,000 | \$1,000,000 |
| Public Records | Ann Anderson | 1 - UW | | | | | | | \$278,000 | \$654,000 |
| Gradescope | Aaron Timss | 1 - UW | | | | | | | \$57,000 | \$85,000 |
| Audit/Compliance of Unstructured Network Data | Xiao-Ping Chen | 1 - UW | | | | | | | \$59,000 | \$60,000 |

14 projects

\$186.5M

\$460.5M

(over for Program Operations impacts)



Improvement over previous quarter



Setback from previous quarter

| Program Operations | Executive Leadership | Major Projects Interdependencies Assessment | | <i>Note: ISC and UW-IT resources are tracked within the major projects' budgets</i> |
|---------------------------|----------------------|---|---|--|
| Integrated Service Center | Ann Anderson | UW Enterprise |  | <p>ISC balances its overall operational requirements and institutional needs with work on several major IT projects. Summary of significant impacts on available resources this quarter:</p> <ul style="list-style-type: none"> • COVID-19 related changes continue impacting our development teams, our customer facing teams and our operational work. • Fraudulent unemployment claims cleanup continues with a large portion of time reconciling benefit charge statements billed to the University, resulting in a reduction of \$4.6 million dollars. The efforts to identify and reduce the fraudulent charges will continue into next year. • Neighborhood Clinics/ Children's University Medical Group Project Workday changes and testing completed for Benefits Early Enrollment to begin 11/1/2020. Preparation for remote customer support coordinated and in place. Project is green. • Open Enrollment for 2021 development work completed for the annual benefits in November. Expect a larger than usual impacts due to remote work challenges affecting customers and departments across the UW. Tier 1 phone system/enhanced capabilities and AWS researched and tested with positive results. • UWFT There are unknowns that will impact resource planning and risk further delays on FT Readiness, including identification of the requirements and timing for FT Program work where ISC knowledge and help are needed. The lack of schedule and deliverables from FT for key project and schedule planning is a critical path issue. • Gender X deployment initiated October 30 • Teaching Professor Track system changes implemented 9.18.2020 • Workday 2020 Release 2 system changes implemented 9.12.2020 • New version of HCM Workday Governance Committee is stood up and meeting regularly <p>Risks are trending toward red, and include:</p> <ul style="list-style-type: none"> • FT Unknowns • Tier 3 Application Team resource constraints • Continued increase workloads due to COVID impacts operational work and professional staff work hours • Remote work impacts to the UW Community work and subsequent challenges for the ISC's facing teams. While the ISC teams have adapted well to remote work, others on campus who have not, are creating support challenges. |
| UW-IT | Aaron Powell | UW Enterprise |  | <p>Critical operations in support of remote teaching, learning and research remain top priority.</p> <ul style="list-style-type: none"> • UWFT consumed significant capacity across all UW-IT for a second quarter. Focus is on identifying critical path with partners. Hiring and onboarding continues. Major open questions around requirements, key decisions and feasibility of schedule remain. Data security concerns in FT Workday tenants raised by UW-IT and being investigated. • ADV CRM the majority of UW-IT's work will wrap up by 11/30 per our MOU. Recent testing delays in ADV add some uncertainty to timeline, quality and scope of UW-IT work. • UWNC integration project on track for 1/1/21 go-live. |

UW Finance Transformation Implementation

| Project | Sponsor | Oversight Level* | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
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| UW Finance Transformation Implementation | Mark Richards | 3 - OCIO | 15 | 1 | 4 | 3 | 3 | 4 | \$56,049,000 | \$269,247,000 |

Since June, the UW Finance Transformation program has been able to maintain momentum and initiate mitigations to resolve some key challenges identified at the mid-point of the Architect stage. The core program has made significant progress on achieving the exit criteria and should thoughtfully transition into Configure & Prototype (C&P) with some exceptions primarily related to the comprehensive technology transformation efforts.

FT's governance structure was slightly modified by forming a subset of Sponsors (the S6) that meets weekly to provide more immediate oversight and guidance. This helps address the Program's needs for timely decisions and provides more visibility into the collaboration partners struggles and the program's ongoing challenges.

The Program continues to work to further refine the integrated planning. However, teams are at different stages of planning maturity across the program. With the deployment effort needing to move forward to Configure & Prototype, we have revised our approach to ensure that integrated planning can continue into November. The program is currently at an inflection point related to our ability to achieve the defined schedule. The next 30 – 60 days informed by the refined planning efforts are critical to our ability to successfully achieve go-live. Our goal continues to be to deploy core Workday Finance on 7/1/2022 with all critical for go-live (CFGL) items completed.

Summary of accomplishment of Architect Exit Criteria: Completed: Business Process and Configuration Workbooks; Configuration Tenant; Conversion Process.

In Progress: Local vs. Shared; HRP Remediation Catalog and Reporting Strategy.

Top Risk/Issues

- **IT Transformation Complexity:** The work to integrate Workday to other key enterprise systems is months behind schedule. Standard Workday/ Deloitte agile/iterative methodology develops configuration details over several sprints, which provides details much later than collaboration partners desire. **Mitigation Plan** - Enterprise legacy system/collaboration partners are actively working on their Get to Green plans and engaged in the integrated planning effort. Results from integrated planning activities and completing the first sprint will help inform outstanding integrations, system disposition and reporting issues.

- **Resources:** Hiring by collaboration partners remains a challenge and contributes to delays in accomplishing the comprehensive scope of the program. Time spent in training and onboarding is also causing delays. **Mitigation Plan** - Where possible, move existing staff to UWFT positions. Teams are actively considering bringing in contract support as necessary to complete priority work.

- **Reporting Strategy/Finance Data Repository:** The reporting strategy work has been further delayed as a result of different points of view on one of the key architectural elements of the strategy, the Finance Data Repository and organizational ownership of it. There is lack of alignment around whether the identified technical solution meets the business need. **Mitigation Plan** - The reporting strategy and the technical solution to support it have been separated to allow alignment behind the business needs documented in the strategy. Technical solution is being managed by a small group to review and analyze the appropriate steps necessary to support the development of our enterprise reporting strategy for financials.

- **Operating Model design and related efficiency gains:** The level of change associated with the current operating model structure would be unprecedented for the UW, particularly around workforce management. Several key stakeholders have recently questioned the approach, which has resulted in assessment of other models. The primary drivers for the hub structure/shared services are around gaining both task and budgetary efficiencies to achieve the identified 15% efficiency gain across the UW enterprise as a result of the implementation of Workday. **Mitigation Plan** - Starting with the S6, UW leadership will meet to determine what the key priorities are around implementing a shared services infrastructure, and to make recommendations around managing financial impacts (efficiency gains, funding model and prepayment options).

- **Program Schedule:** Because of the delays noted above, leadership may need to discuss potential adjustments of program scope or staging deployment during next 30-60 day window as more information from integrated planning efforts becomes available. Implications of missing schedule are far reaching. **Mitigation Plan** - An adjustment to scope and schedule within existing timeline and budget may potentially reduce rework or enable more focused resource allocation in the near term without longer-term impacts. The teams are developing plans to identify what scope is "critical at go live" (Wave 1, July 2022) and what scope might be completed after initial "go live."

per Ed Loftus (Stephanie Cady) 11/5/2020

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Destination: One

| Project | Sponsor | Oversight Level* | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
|-------------------------|------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|---------------|---------------|
| Destination: One | Tim Dellit | 3 - UW | 12 | 3 | 2 | 2 | 2 | 3 | \$112,220,359 | \$159,500,000 |

Status

The overall status of the program mirrors the previous report as various teams have encountered significant issues. Mitigation strategies have been put in place, with the most critical teams sending weekly executive status reports. Some teams have already seen improvement following the implementation of these strategies, and continue to monitor and evaluate weekly. Stringent project management processes remain in place for the program to follow and have been successful in identifying and executing issue mitigation strategies. Weekly meetings are held to review any workstreams at a yellow or red status and highlight leadership requests. The team is currently working on finishing integrated/PRCT testing along with conducting detailed planning and execution of activation, readiness, and training tasks. The Technical Dress Rehearsal kicks off on 10/12 following successful pilot execution, and integrated/PRCT testing is slated to finish on 10/23.

Risks

1. Integrated Testing: Integrated testing is a major dependency to project success and overall timelines. Testing started falling behind schedule, but has seen significant improvement through implementation of various mitigation strategies with the expectation to complete by 10/23 with only very targeted follow ups remaining.
2. Training Registration: Training registration is significantly behind and at-risk for not being ready to deliver for go-live. The team has put various mitigation strategies in place including the hiring additional resources to work directly with operations to register end users, a staffed hotline, and targeted outreach process to address any particular areas of concern.
3. Activation: Planning activities are behind schedule for several key activities. The team has recently completed a transition in the program manager role, and will continue to provide weekly updates to the Executive Steering Committee on progress and concerns.

High Level Timeline:

- Groundwork Phase - Oct-Nov '18 - COMPLETE
- Discovery Phase - Dec '18-Jan '19 - COMPLETE
- Adoption Phase - Jan-Oct '19 - COMPLETE
- Testing Phase - Nov '19-Oct '20 - ONGOING
- Training & Go-Live Phase - Sept-Jan '21 - ONGOING
- Post-Live & Stabilization Phase - Feb '21-Jul '21

per Emily Schulte 10/9/20 <reviewed by Rich Selenis, Kristal Mauritz-Miller, Eric Neil>

Advancement CRM Replacement

| Project | Sponsor | Oversight Level* | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
|------------------------------------|-----------------------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|-------------|-------------|
| Advancement CRM Replacement | Julie Brown Dan Peterson | 3 - OCIO | 10 | 2 | 3 | 2 | 1 | 2 | \$1,818,978 | \$4,000,000 |

SCHEDULE:
The project team is continuing to make progress in all workstreams and has not yet been impacted by COVID-19. Development is catching up and should be back on track by November. The delay was due to the complexity of the requirements and functionality that did not exist in xRM's core solution.

REQUIREMENTS:
Requirements completed for 21 out of 35 sprints. Requirements in progress for two sprints.

DEVELOPMENT/CONFIGURATION:
Development completed for 17 out of 35 sprints. Development in progress for four sprints.

DATA MAPPING & MIGRATION:
Data mapping and migration completed for four sprints. Data mapping in progress for five sprints.

SYSTEM INTEGRATION:
Advancement and UW-IT MSCA team made a decision on disk space purchase and refresh cadence for Dynamics 365. Both teams decided to pursue UW-IT Connect for the Outlook integration approval process. Additionally, both teams are meeting monthly to discuss Dynamics licensing.

TESTING:
Functional testing completed for three out of 35 sprints and in progress for three sprints. UAT in progress for five sprints. Team established new UAT windows and began planning for the next UAT window; expected to start mid-November.

RESOURCES:
Since the last report, the project team filled the data analyst and general consultant position; onboarding is currently underway. For the Marketing Business Analyst position, the team is considering options to re-task people from around the organization to be more dedicated to this project and will require leadership to hold conversations around competing priorities depending on what options are selected. The testing team also expanded, adding a total of four resources (two part time, one full time as of October, and one helping eight hours a week).

RISKS:

- #3 (Medium Impact): Coronavirus (COVID-19) – Remote Work
The project team has been working with the vendor remotely and is familiar with collaboration tools such as Zoom and Teams and will continue to use these tools to work and stay engaged.
- #4 (High Impact): Coronavirus (COVID-19) – Member Becomes Ill
If any project member becomes ill due to COVID-19, then the project schedule could be impacted. The project team has developed a contingency plan in the event that this occurs.

PROJECT BUDGET:
Project is under budget. Costs are not expected to exceed budget by go-live.

per Julie Ngo on 10-01-20

| Clinical Trials Management System | | | | | | | | | | |
|--|---------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|--------------|--------------|
| Project | Sponsor | Oversight Level* | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
| Clinical Trials Management System | John Slattery | 2 - UW | 10 | 2 | 2 | 2 | 2 | 2 | \$10,031,000 | \$15,704,000 |
| <p>Status: Per the updated project schedule approved by the CTMS Executive Steering Committee (ESC) the team has launched the Target 3 Pilot in October. The pilot includes 2 Oncology teams and 1 Non-Oncology team and aims to exercise all study financial management functionality that is in-scope. It also includes the rollout of Zendesk as the customer service management tool for the various central offices supporting CTMS. The full rollout will be initiated in June 2021 (instead of the previously planned March 2021 date due to the D1 project shift from October to January). The project will conclude as planned December 2021.</p> <ul style="list-style-type: none"> • T3 Pilot (including Zendesk as the customer service management platform) has launched on 10/5! <p>Upcoming Major Milestone:</p> <ul style="list-style-type: none"> • Production support and stabilization of T3 pilot <p>Project Risks</p> <ul style="list-style-type: none"> • Scaling of central services across 3 institutions for T3 - active monitoring of operational staffing levels, updates to design, clinical trial study start-up timelines | | | | | | | | | | |
| <p><i>per Ganga Subramanian 10/9/20 <reviewed by Rich Selenis, Kristal Mauritz-Miller, Eric Neil></i></p> | | | | | | | | | | |

UWM Data Analytics Warehouse

| Project | Sponsor | Oversight Level* | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
|-------------------------------------|-------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|-------------|-------------|
| UWM Data Analytics Warehouse | Adam Wilcox | 2 - UW | 15 | 3 | 3 | 2 | 3 | 4 | \$1,664,000 | \$5,172,000 |

Status

Project is tracking well, but with issues. The Clinical phase is scheduled to go live on-target one month after D1 go-live with no change in scope. However, an internal milestone is currently delayed and additional resources have been leveraged to mitigate this delay. Planning and resource identification for the Financial phase has started.

Recent Activity

- Hired additional contract staff to assist with analysis/disposition of 200+ clinical legacy systems
- Identified incomplete infrastructure issue that is delaying report development activity; assigned technical lead to develop rapid solution and develop a more comprehensive solution to be implemented later
- Began planning for Data Management workstream, including product selection for a meta-data management system
- Began resource assessment for Financial phase

Next Major Milestones

- General release of DEEP data model to D:1 remediators
- Completion of infrastructure build
- Migration of complete build to production hardware
- Completion of cutover plan for D:1 / DAWG Clinical go-live
- Initiation of design and build of Financial DAWG

Risks/Issues

- Incomplete infrastructure delaying D:1 report remediation
- More rigorous Change Control procedures will be extended to user developers, adding risk to overall adoption
- Interim infrastructure plan will require additional DBA resources
- Analysis/Disposition of Clinical data source list slower than planned

per Kirsten Haynes 10/8/20 <reviewed by Rich Selenis, Kristal Mauritz-Miller, Eric Neil>

Continuum Online Apps

| Project | Sponsor | Oversight Level* | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
|------------------------------|-----------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|-------------|-----------|
| Continuum Online Apps | Marlon Buchanan | 2-UW | 13 | 2 | 3 | 3 | 2 | 3 | \$213,282 | \$600,000 |

Project Paused:

- Architectural Discussions: We conducted discussions about how Enrollment Rx will impact our current implementation and usage of Salesforce objects, UX for Applicants Logging into the Applicant Portal and using custom object for Educational History user story. These became our main drivers to pause the Online Application project and start a new project about architecture. The charter for the new project will lay out details on this project pause and the reasons for the new project.

Status of Online Application Project Prior to Pause

- Applicant Portal Workstream: Iteration 1 of HomePage deliverable is completed with very basic design. Iteration 2.1 is just getting started, with the goal of reflecting different experience for the Applicant depending on where they are with the application process. We drafted wires for different states of the applicant journey and created styling mockup for the application form.
- Building and Maintaining Applications Workstream: Iteration 1 is completed! The goal of Iteration 1 was to have Application Form configured with application questions for all certificate offerings. Iteration 2.1 is on improving UI for the Application Form relating to certificate offerings. Iteration 2.1 is kicked off on 7/14.
- Evaluator Workstream: We drafted a feedback form, reviewed internally and then shared feedback form requirements with ERx. We are analyzing results from a survey that gathered routing requirements from evaluators.
- Payment Workstream: ERx configured a basic form for payment on the Applicant Portal (with no integration to TouchNet or EOS). Iteration 2.1, which kicked off 7/14, will improve the UX and copy related to payment form on the Application Portal. Iteration 2.2 will focus on building integrations with TouchNet and EOS. We planned payment workstream deliverables with ERx.

New Project SF Realign to EDA

- We are chartering a new project to re-architect from our current custom SF data structure to Salesforce Education Data Architecture (EDA). In defining the project scope, we will address the need to support different program types. Charter is approved on 10/1/2020.

Jackson Lum <Oct 6, 2020> **pause**

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| LQI Leases | | | | | | | | | | |
|---|--------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|-------------|--------------|
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| LQI Leases | Susan Camber | 2 - UW | 7 | 1 | 2 | 2 | 1 | 1 | \$459,424 | \$540,000 |
| <p>Status:</p> <p>This project was completed in August.</p> <p>We will no longer be doing any related reporting in AFRS until Fiscal Year 2022. (Due to delay in the implementation date for the new GASB 87 accounting guidance.)</p> | | | | | | | | | | |
| Stephen Brady 10/23/20 | | | | | | | | | | final |

Campus Space Management Modernization

| Project | Sponsor | Oversight Level* | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
|--|------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|-------------|-----------|
| Campus Space Management Modernization | Tim Rhoads | 2- UW | 12 | 2 | 3 | 3 | 1 | 3 | \$170,000 | \$330,000 |

Status:
 Phase 1 of the project, the migration from GeoSIMs to InVision's Space Manager, was initially scheduled for Fall 2020. Due to specific data element requirements needed by campus that are not currently available and the vacant PM position, the migration has been delayed until Winter 2021. The plan is to provide a temporary solution in the interim while the vendor works to incorporate these critical elements into their product. This development is currently underway by the BIT dev team.
 A test environment has been established and made available to current GeoSIMS users to enable users to test drive the new space system. A new Project Manager has been hired to fill the vacate PM position, start date 10/12/2020.

Colleen Bettis 10/18/2020

Transportation System Improvement Project

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|---|--------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|-------------|-------------|
| Transportation System Improvement Project | John Chapman | 1 - UW | 9 | 2 | 2 | 2 | 1 | 2 | \$3,205,209 | \$3,401,300 |

Status:
~~On a~~ permit configurations are being completed and final data base testing.
 "Go Live" date December 10th.

Eric Johnson 10/16/20

| MSIM Online Program Management | | | | | | | | | | |
|---|-----------|------------------|---------------------------------|---------------|--|--------------|-----------------|---------------|-------------|-----------|
| Project | Sponsor | Oversight Level* | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
| MSIM Online Program Management | Anind Dey | 2 - UW | 10 | 2 | 1 | 3 | 2 | 2 | \$59,000 | \$215,663 |
| <p>Program Status:</p> <p>The iSchool's MSIM program is on track to start online classes starting Spring '21. Noodle Partners, the selected vendor is assisting the iSchool the application and program build. This project is being executed with support and oversight from the Graduate School and UW-IT.</p> <p>Pipeline HighlightsKAs of Oct 2020, 378 leads generated online, 58 applications started, 16 final applications submitted reviewed.</p> <p>Highlights / Recent Activities</p> <ul style="list-style-type: none"> • UW Canvas / Access Management SubAccount integrations with Noodle complete 10/23 • UW's feedback on Noodle's Creative Guide incorporated • Noodle's SEO strategy approved by UW (digital PR, videos, blog topics). • Course builds in progress • Quality concerns raised by UW are being addressed as necessary by vendors (Construct & Noodle) • Discovery of the IT Support Desk has kicked off and configuration is in progress in collaboration with relevant units from the iSchool and UW central IT <p>Upcoming Milestones</p> <ul style="list-style-type: none"> • Oct 29 decision letters for Spring Quarter applicants released. • Virtual Program Events scheduled; 11/12 - Program Overview, 12/3 Program Outcomes, 1/13 Alumni Panel • Wave 1 Course build work to be completed by Nov 30 • UW iSchool Student Affairs team is developing and reviewing content for Orientation Blueprint. • Filming Student Orientation will take place in December • OPM Integration / MSIM Steering Committee Meeting to be held in November led by iSchool. <p>Issues</p> <ul style="list-style-type: none"> • MyGrad Input API status is TBD. This API is required to support lifecycle management of iSchool MSIM candidates. There is manual work around in place for Spring '21 launch but the API will be required for scalability of MSIM. UW Law School, Foster School of Business, School of Public Health, etc are also requesting this API for similar use. • With multiple consumers of the API, MyGrad is working on a scalable implementation. Meeting with Graduate School to be held Nov 20 to understand status and delivery dates. <p>NB: Budget and Actual cost include UW-IT labor, and partial vendor costs. Labor cost within the iSchool are not included at this point, in the budget or the actual spend to date.</p> | | | | | <p>UW-IT Integrations Status:</p> <p>MSIM / UW-IT integration is complete as of 10/26. Team is now supporting iSchool and Noodle Partners with several items</p> <p>Highlights / Recent Achievements</p> <ul style="list-style-type: none"> • Canvas Subaccount Implementation - complete • Roles and permissions granted in Astra - complete • EOS system (Continuum College) pushed 'MSIM' indicator to prod - complete • Noodle Learning team building out course in Canvas - in progress • Verify Spring course builds - on track 11/9 <p>Upcoming Milestones</p> <ul style="list-style-type: none"> • Vendor sent review of plan to filter and securely manage student information from the SWS (output) API. Plan is required due to data overprovisioning from that API to Noodle. UW-IT to review with CISO • UW-IT Support plan to be created (Learning Technologies CSS, and UW Connect) | | | | | |
| <p>Gayle Tucker mm/dd/20 new</p> | | | | | | | | | | |

Finance E-Commerce/Touchnet

| Project | Sponsor | Oversight Level* | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
|-----------------------------|----------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|-------------|-------------|
| Finance E-Commerce/Touchnet | Brian McCartan | 1 - UW | 7 | 1 | 1 | 1 | 3 | 1 | \$243,000 | \$1,000,000 |

E-commerce is broadly defined as exchanging money for goods and/or services over the Internet. For our purposes, E-commerce is a program that will allow the Office of Merchant Services (OMS) that has three core functions: building online storefronts on behalf of our merchants in a PCI Compliant manner; consolidate third party vendor payment systems through the TouchNet Ready Partner Program; and create integrations with existing systems that remove University networks from PCI Compliance scope.

Status:

TouchNet's popularity is increasing rapidly due to the low cost, added e-check option (BETA), PayPath activation for SFS and Continuum College and ease of setup/use with Merchant Services.

Touchnet Volume for FY20:

- July 2020 - 1,750 transactions; \$540,000 volume
- August 2020 - 3,100 transactions; \$1,075,000 volume
- September 2020 - 10,424 transactions; \$31,500,000 volume

Anticipate October volume exceeding \$100,000,000 in volume

E-checks will move out of BETA in Q4 which will drive a further increase in interest in TouchNet stores. All e-check transactions are validated to account and routing numbers to meet NACHA compliance requirements.

Project Risks:

- Open position – slated for 50% work on e-commerce
- COVID19 impacts
- Expecting more web traffic while this persists
- On site assessments on hold limiting discovery of unauthorized vendors

Budget:

- Approximate yearly cost this year: \$210,000 - annual invoice to be paid Q4.

Per Gordon Scherer/Kevin Doar 10/13/2020

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Public Records

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| Public Records | Ann Anderson | 1 - UW | 13 | 2 | 3 | 2 | 3 | 3 | \$278,221 | \$654,000 |

Status:
 The OPR Tech Refresh project continues to move forward, however, some issues have come up causing the project to move into yellow status. Project Leaders have been made aware of these issues and we are currently mitigating to ensure minimal impact to overall project success.

Issues:

- Requirements gathering phase has taken longer than expected due to misalignment in expectations between technical vendors and UW.
- Due to technical constraints with the new multi-vendor platform, many of UW's requirements (primarily related to reporting) will not be fully met. Negotiations with the vendor are in progress and OPR does not consider any of these gaps to be show stoppers.
- BA resource needed to support detailed future state workflow, testing, and training to address identified gaps requiring additional workarounds and training.

Risks:

- Though we expect to be able to make up lost time in future phases, there is a risk that the extended requirements gathering phase could impact the overall timeline. This will be monitored closely.
- The need for BA support will likely impact the overall project budget unless an internal resource can be found.

Charity McCollum - 10/15/20

Project Portfolio Executive Summary - September 30, 2020

| Gradescope | | | | | | | | | | |
|--|-------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|-------------|----------|
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| Gradescope | Aaron Timss | 1 - UW | 8 | 2 | 2 | 2 | 1 | 1 | \$57,000 | \$85,000 |
| <p>Project Overview: Paul G. Allen School of Computer Science and Engineering (CSE) Gradescope SaaS solution provided by Turnitin, LLC. The project expands the use of Gradescope within CSE and three other academic departments (Chemistry, Math and Applied Math) and takes advantage of the efficiency and benefits provided by integrating Gradescope with the Canvas Learning Management System (Instructure, Inc.). The project is structured around a "Growth License" that opens up access to other units more broadly over time for possible university-wide adoption.</p> <p>GradeScope integrates with Canvas LMS in three ways: Grade Scope's API, an LTI-powered "Pull" interface, and a "Push" interface through the GradeScope web console.</p> <p>Health/Status: Project on-track</p> <p>Milestones:</p> <ul style="list-style-type: none"> • "Push" interface through Gradescope web console enabled in Gradescope web console UW-wide • Sept 8 & 17: Training workshops hosted, invitation/attendance extended to ECE and Chem E • Canvas LTI tool configured and deployed for Chem E and ECE per funding model conversations/approval with respective departmental leadership • SSO successfully configured, tested, and deployed. • PIA successfully filed with UW Privacy <p>Next Steps:</p> <ul style="list-style-type: none"> • Schedule mid-quarter check-point meetings with ECE and Chem E leadership to discuss their fall quarter usage and forecasting into Winter • Continue Promotion/Outreach to other units on campus • Schedule training workshops for Winter quarter • Work with partnering units, with possible input from UW-IT, to determine best location/structure to offer general Gradescope information/centralized set-up documentation <p>Risks: None identified at this time</p> | | | | | | | | | | |
| Rebekah Hansen - 10/16/2020 | | | | | | | | | | |

Audit/Compliance of Unstructured Network Data

| Project | Sponsor | Oversight Level* | Overall Risk & Project Health * | Budget Rating | Schedule Rating | Scope Rating | Resource Rating | Issues Rating | Actual Cost | Budget |
|---|----------------|------------------|---------------------------------|---------------|-----------------|--------------|-----------------|---------------|-------------|----------|
| Audit/Compliance of Unstructured Network Data | Xiao-Ping Chen | 1 - UW | 9 | 2 | 2 | 2 | 1 | 2 | \$59,155 | \$60,000 |
| <p>Status:</p> <p>Project remains on track. We have completed software configuration with the vendor. Jeff Balaz completed Varonis certification on 6/29. The previously identified problem with resolution of names associated with accounts in the NETID domain has been mitigated, and names are successfully resolving. Reports to identify PII have been successful on roughly 50% of files, with the remainder failing because of file system permissions on the monitored file servers; these are being manually mitigated. The PIA paperwork is complete, with one outstanding issue: identification of PII that Varonis identifies out-of-box, which Jeff is working on with Varonis. In September, Jeff used Varonis to identify shares that contained stale data; and decommissioned those shares. As a pilot, we used UW Groups to provision permissions on three shares as a pilot, and removed ad-hoc BSCHOOL permissions. The pilot was successful. With the pilot complete, we now plan to do the same thing to the rest of the shares.</p> <p>Actual Cost and Total Budget adjusted to include labor costs.</p> | | | | | | | | | | |
| <p><i>Jeff Balaz & Mick Westrick 10/13/20</i></p> | | | | | | | | | | |

| * Oversight Level Key | ** Project Health Key |
|--|--|
| <p>1. Overseen by UW management and staff. Requires OCIO approval and reporting if over delegated authority.</p> |  Project is on time, on budget, and within defined scope, with minimal issues. Overall Risk Rating where 5-10 is Green |
| <p>2. OCIO approval required and regular project reporting. Quality Assurance (QA) reporting required, maybe internal or external. OCIO may recommend project to be full Technology Services Board (TSB) oversight.</p> |  Changes to scope, budget, or resources have placed project at some risk. Project has the potential for delays, cost or scope changes. Overall Risk Rating where 11-17 is Yellow |
| <p>3. High severity and/or high risk, subject to full TSB oversight, which includes TSB approval, written reports to the TSB, periodic status reports to the TSB by the agency director and staff, and submission of other reports as directed by the TSB. External QA reporting required.</p> |  Major changes to scope, budget or resources have placed project at critical risk. One or more of the following must change in order to proceed: project schedule, resources, budget, scope. Overall Risk Rating where 18-25 is Red |

Note for UW Medicine: project oversight levels 2 & 3 report to UW management

| *** IT Project Risk Ratings (summed to calculate Overall Health Rating) | |
|---|---|
| Current Risk Rating | Use the scale below to rate current performance on Budget, Schedule and Scope (select appropriate number for each) |
| Budget Schedule Scope | <ul style="list-style-type: none"> 1 = Performing better than project plan; ahead by 5% or more 2 = Performance is on plan 3 = Behind plan, but within 5% of original targets 4 = Behind plan between 6% to 10% and likely to use/using contingency 5 = Greater than 10% behind plan and more than half of contingency used |
| Current Risk Rating | Use the scale below to rate current performance on Resources |
| Resources | People with the necessary expertise are... <ul style="list-style-type: none"> 1 = in place, or high likelihood of being available as specified in the Resourcing Plan. 2 = There are some minor gaps in resourcing, but can be overcome with minor alternations of the Resourcing Plan, with no significant impact on schedule, budget or deliverables. 3 = somewhat in place, or struggling to perform, with moderate risk to schedule, budget or deliverables. 4 = There are gaps in resourcing, with moderately high risk to schedule, budget or deliverables. Mitigation plans in place, or will be soon. 5 = not in place, or low likelihood of being available per the Resource Plan. Significant impact on schedule, budget or deliverables, without mitigation plans in place. |
| Current Risk Rating | Use the scale below to rate current impact of Issues and other factors relevant to the project (select one number for this measure) |
| Issues | <ul style="list-style-type: none"> 1 = No risks or issues identified at this time 2 = Some identified but minor, no impacts anticipated 3 = Some that could impact the project are being managed, with minimal impact anticipated 4 = Significant risks/issues/other factors identified but not yet managed 5 = Risks/issues being managed but will have significant impact (greater than 10%) on project budget, schedule and/or scope |