APS 2.3   Oversight Chart

Policy Section	See notes below	Academic Exemption					Health-Care Related Exemption				All Others				
		Level 1			Major Project (Level 2, 3)		Level 1	Major Project		Level 3	Level 1				Major Project (Level 2, 3)
		Small Project**	Large Project					Level 2			Small Project**		Large Project		
			single dept	multi-dept *	<12 Mo	> 12 Mo		< $2M	> $2M		single dept	multi-dept *	<12 Mo	> 12 Mo	
	Considerations & Documents to prepare *														
3	Stewardship	X	X	X	X	X	X	X	X	X	X	X	X	X	X
4,5	Oversight Level	X	X	X	X	X	X	X	X	X	X	X	X	X	X
4,5	Total System Life Cost (incl. Project & 5-year Operating Cost)	X	X	X	X	X	--	X	X	X	X	X	X	X	X
10.A	Concept Plan	--	--	--	X	X	--	Best Practice	X	X	--	X	X	X	X
9.A,B	Investment Plan	--	--	--	X (abbrev.)	X (abbrev.)	--	--	X (abbrev.)	X (abbrev.)	--	--	--	--	X
10.A	Project Plan	--	X	X	X	X	--	Best Practice	Best Practice	Best Practice	--	X	X	X	X
	Approvals required														
8.A	UW CIO Approval or Concurrence	--	--	concur	concur	concur	--	--	X (A)	X	--	X	X	X	X
8.B	UW IT Gov Board Oversight, Advice, Rec.	--	--	maybe	maybe	maybe	--	--	--	X	--	--	--	--	X
5	State CIO Approval	--	--	--	--	--	--	--	--	--	--	--	--	--	X
5	State Office of Financial Management (for Financial & Admin systems)	--	--	--	--	--	--	--	--	--	--	--	X	X	X
	Project Status Reporting														
10.C	Qtrly Status Reports to UW CIO	--	--	--	--	X	--	--	X (B)	X	--	--	--	X	X
10.C	Qtrly Status Reports to UW IT Gov Board	--	--	--	--	--	--	--	X (B)	X	--	--	--	X	X
10.B	Quality Assurance	--	--	--	--	--	UWM	UWM	UWM (or ext.)	X (ext.)	UW	UW	UW	UW	X (ext.)
10.C	Status Reports to State CIO	--	--	--	--	--	--	--	--	--	--	--	--	--	X

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Notes:

* *For ANY project - except Small Projects with Academic Exemption - that impacts multiple departments, or central resources, central approval is required.*

** *A "Small Project" is one that is <$1M in Project Cost, <$2.5M in System Life Cycle Cost, and has an Oversight Level of 1.*

*** *All projects are required to retain materials to support the Overslight Level and total System Life Cost for a period of 5 years, even if central approval or oversight is not required. Small Projects with Academic Exemption are not required to retain documents, but must be able to demonstrate Level and Cost after the fact.*

(A) UW CIO concurrence of the project risk assessment and concurrence regarding the form of QA (internal, or external).

(B) Quarterly status reports to UW CIO if QA is external.

(ext.) Level 2 and 3 projects must have external QA. Health-Care Related applications that are Level 2 and >$2M may have external or internal UWM QA, per MOU.